For Internal Use Only Submit 1 Original OMB Approval No.: and 9 Copies Sec File No. 91 -Expires: Estimated average burden hours per response: 2.00 **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) SEC 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open-end Management Investment Company IAN 17 2017 3. Class of New Derivative Securities Product: **Investment Company Units** Washington DC 4. Name of Underlying Instrument: Dorsey Wright US Sector Momentum Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based** 6. Ticker Symbol(s) of New Derivative Securities Product: 17002216 SWIN 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Securities and Exchange NYSE, NASDAQ 4 7 2017 8. Settlement Methodology of New Derivative Securities Product: Regular way trades settle on T+3/Book entry only held in DTC. RECEIVED 9. Position Limits of New Derivative Securities Product (if applicable): Not applicable. Execution Part II The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Martha Redding Associate General Counsel and Assistant Secretary Telephone Number: (212) 656-2938 Manual Signature of Official Responsible for Form: Securities Exchange Act of 1934 Act January 13/2017 SEC 2449 (1/99) JAN 1 7 2017

Availability:



Martha Redding Associate General Counsel Assistant Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 656 2938 F + 1 212 656 8101 Martha. Redding@theice.com

## Via Overnight Mail

January 13, 2017

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

SEC Mail Processing Section JAN 17 2017

Washington DC 412

Re: 19b-4(e) - Transmittal

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

ALPS/Dorsey Wright Sector Momentum ETF (SWIN)
PowerShares Treasury Collateral Portfolio (CLTL)
Davis Select U.S. Equity ETF (DUSA)
Davis Select Financial ETF (DFNL)
Davis Select Worldwide ETF (DWLD)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Securities and Exchange

JAN 17 2017 RECEIVED

Act	Securities Exchange Act of 1934
Section Rule	195-4 195-4(e)
Public Availability:	JAN 1 7 2017